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Samuel Abernethy

Principa, New York Office

PRACTICE AREAS

- Business Litigation

EDUCATION & ADMISSIONS

- Princeton University, A.B.
- Fordham University School of Law, J.D.
- New York

PRACTICE FOCUS

Sam is both a litigator and corporate lawyer, who concentrates in the financial services industry, particularly commodities, derivatives, and securities. He advised registrants in compliance matters and represents litigants in private litigations (as well as arbitrations and mediations) and regulatory disciplinary matters, including investigations. He also advised clients in corporate governance matters, shareholder/member rights, and employment issues.

Sam's practice has involved him in an in-depth way with markets and trading, especially in the futures and derivatives markets. He has served as a receiver, arbitrator, and expert in commodities and derivatives related matters and disputes. He began his legal career with Curtis, Mallet-Prevost, Colt & Mosle and later became Vice-President and General Counsel of Comex, Inc. and then Senior Vice-President and General Counsel of the Coffee, Sugar & Cocoa Exchange, Inc. (now ICE, Inc.). Peer Review Rating: AV® Preeminent™ 5.0 out of 5.

REPRESENTATIVE SPEAKING ENGAGEMENTS

- Panelist in several New York City Bar Association programs on futures and derivatives law, including "Hot Topics" program on Exchange-Traded and OTC derivatives
- Panelist in several Futures Industry Association Law & Compliance Division programs at annual conferences
- Panelist on various New York State Bar Association programs

ACTIVITIES

- New York State Bar Association Executive Committee, Member
- New York State Bar Association House of Delegates, Delegate
- New York State Bar Association Committee on Electronic Communications, Member and Chair
- New York State Bar Association Special Committee on Pleading Standards in Federal Litigation, Chair
- New York State Bar Association Special Committee on Electronic Discovery and Case Management in Federal Litigation, Chair
- New York State Bar Association Business Law Section,
- New York State Bar Association Derivatives & Structured Products Law Committee, Member and Chair
- New York State Bar Association Committee on Diversity and Leadership Development, Member and Chair
- New York State Bar Association Special Committee on Youth Outreach, Member
- New York State Bar Association Task Force on Gun Violence, Member
- New York City Bar Association Futures and Derivatives Committee, Member
- American Bar Association Committee on Derivatives and Futures Law of the Business Law Section, Member
- New York City Committee of the Law Firm Access to Justice Campaign Committee of Legal Services of Hudson Valley, Inc., Member
- St. Andrew's Society of the State of New York, President and Board Member
- Burns Society of the City of New York, Board Member