



LOUIS TAMBARO
 Principal, Metropark



PRACTICE AREAS

- Commercial Litigation
- FinTech Practice Group
- Franchise Law

ACTIVITIES

- Financial Services Institute, Member
- Board of Trustees, Hope Through Education – A Fund for Better Futures (independent 501(c)(3) whose mission is to provide scholarships to economically disadvantaged families who wish to provide their children with the lifelong advantage of a quality private or values-based education

PRACTICE FOCUS

Mr. Tambaro is a commercial litigator with concentrations in the areas of financial services and franchise law. In his practice, he regularly handles the representation of independent broker-dealers, RIAs, wealth management firms, and individual financial advisors/planners in all facets of their businesses including transactional, litigation (including broker expungement applications) and regulatory matters, as well as in a plethora of other fields. He regularly litigates in the State and federal courts of New Jersey and New York and in alternative dispute resolution forums such as the Financial Industry Regulatory Authority (FINRA) and the American Arbitration Association (AAA). Mr. Tambaro brings a unique perspective and understanding, as he couples his experience in private legal practice with extensive entrepreneurial experience as a co-manager of a recruiting branch office in one of the largest independent broker-dealers in the United States.

On the franchise-side of litigation, he has sought and defended against injunction applications and other applications for emergent relief and has successfully represented parties in connection with contract and royalty fee disputes and territorial disputes. He has also represented single unit and multi-unit franchisees and area developers in their efforts to enter and exit franchise systems.

In addition to his wide-ranging litigation practice, Mr. Tambaro routinely advises his broker and advisor clients in connection with licensing and registration concerns, advisor transitions, practice acquisition, and sales, mergers, succession planning strategies, and general assistance with regulatory compliance and related issues. He also represents multi-unit owner franchisees, national and regional franchisee associations, and franchisors throughout the United States in connection with their business operations.

PUBLICATIONS

- “Navigating the Purchase and Sale of a Financial Advisory Practice,” *National Academy of Continuing Legal Education (NACLE)*, February 2018 (Author)

HONORS

- New Jersey Super Lawyers, Commercial Litigation, 2022
- New Jersey Super Lawyers Rising Stars, Commercial Litigation, 2012 - 2015
- Capstone - Fi360, Granted Accredited Investment Fiduciary (AIF) Designation - in connection with the implementation of fiduciary standards and in lending investment advice, 2016

**Selected from 2012 consecutively through 2020 to be included in the New Jersey Super Lawyers (Rising Star) list published by Thomson Reuters based on peer review and other achievement-based factors. Not approved by New Jersey Supreme Court.* American Institute of Family Law Attorneys is not approved by the New Jersey Supreme Court.*

EDUCATION

- Fordham University School of Law, J.D.
- New York University, B.A., Cum Laude

ADMISSIONS

- New York
- New Jersey
- U.S. District Court, District of New Jersey
- U.S. District Court, Eastern District of New York
- 2nd U.S. Circuit Court of Appeals